

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Edward B. Ferguson

Appl. No. To Be Assigned
(Div. of Appl. No. 10/308,109;
Filed: Dec. 3, 2002)

Filed: July 2, 2003

For: **Reversible Magnetic Door
Stop/Latch**

Confirmation No.

Art Unit: To Be Assigned

Examiner: To Be Assigned

Atty. Docket: 1634.0080001/DKSC/TUM

Information Disclosure Statement

Commissioner for Patents
Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application

does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- ☐ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.
- ☒ 2. Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.
- ☐ 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
 - ☐ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

- ☐ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☐ c. Attached is our PTO-2038 Credit Card Payment Form [OR] Check No. _____ in the amount of \$ _____ in payment of the fee under 37 C.F.R. § 1.17(p).
- ☐ 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Enclosed find our Check No. _____ in the amount of \$ _____ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:
 - ☐ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
 - ☐ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☐ 5. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the

foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.


- ☐ 6. A concise explanation of the relevance of the non-English language document(s) appears below:
- ☒ 7. Copies of the documents were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No. 10/308,109, filed December 3, 2002, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

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Date: July 2, 03

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	To Be Assigned
Filing Date	July 2, 2003
First Named Inventor	Edward B. Ferguson
Art Unit	To Be Assigned
Examiner Name	To Be Assigned
Attorney Docket Number	1634.0080001/DKSC.TUM

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA1	US-1,701,202	02/05/1929	Dudley	
	AB1	US-2,471,635	05/31/1949	Mark <i>et al.</i>	
	AC1	US-2,496,691	02/07/1950	Berry	
	AD1	US-2,761,718	09/04/1956	Tool	
	AE1	US-2,955,239	10/04/1960	Rouse	
	AF1	US-3,647,165	03/1972	Whitla	
	AG1	US-3,691,688	09/1972	Kaiserswerth	
	AH1	US-3,744,833	07/1973	Berducone	
	AI1	US-3,790,197	02/05/1974	Parker	
	AJ1	US-4,364,019	12-1982	Hutter	
	AK1	US-4,434,524	03/06/1984	Gilchrist	
	AL1	US-4,505,502	03/19/1985	Tomita	
	AM1	US-4,682,801	07/1987	Cook <i>et al.</i>	
	AN1	US-5,485,733	01/1996	Hoffman	
	AO1	US-5,771,533	06/30/1998	Kuang-Pin	
	AP1	US-5,782,512	07/1998	Cargnoni	
	AQ1	US-5,944,368	08/1999	Hastings	
	AR1	US-6,135,515	10/24/2000	Roth <i>et al.</i>	
	AS1	US-6,167,589	01/02/2001	Luedtke	
	AT1	US-6,489,871	12/2002	Barton	
	AU1	US-6,408,484	06/2002	Vandertouw	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant or Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	BA1	EP0068988	01/1983	Sedley		
	BB1	JP404185880A	07/1992	Inoue		
	BC1	FR1179194	05/1959	Phillips		
	BD1	JP54-13000	01/1979	Yasauyuki		
	BE1	DE 3323993 A	01/1985	Drignath		
	BF1	GB205603A	12/1988	Bucks		
	BG1	JP 3-193881	08/1991	Yutaka		
	BH1	JP 6-221045	01/1993	Toshifumi		
	BI1	DE003837547A1	05/1990	Maier		

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

USPTO Form 1449A (Rev. 6/02) PTO 1449A-1 (Rev. 6/02) PTO 1449A-2 (Rev. 6/02) PTO 1449A-3 (Rev. 6/02) PTO 1449A-4 (Rev. 6/02) PTO 1449A-5 (Rev. 6/02) PTO 1449A-6 (Rev. 6/02) PTO 1449A-7 (Rev. 6/02) PTO 1449A-8 (Rev. 6/02) PTO 1449A-9 (Rev. 6/02) PTO 1449A-10 (Rev. 6/02) PTO 1449A-11 (Rev. 6/02) PTO 1449A-12 (Rev. 6/02) PTO 1449A-13 (Rev. 6/02) PTO 1449A-14 (Rev. 6/02) PTO 1449A-15 (Rev. 6/02) PTO 1449A-16 (Rev. 6/02) PTO 1449A-17 (Rev. 6/02) PTO 1449A-18 (Rev. 6/02) PTO 1449A-19 (Rev. 6/02) PTO 1449A-20 (Rev. 6/02) PTO 1449A-21 (Rev. 6/02) PTO 1449A-22 (Rev. 6/02) PTO 1449A-23 (Rev. 6/02) PTO 1449A-24 (Rev. 6/02) PTO 1449A-25 (Rev. 6/02) PTO 1449A-26 (Rev. 6/02) PTO 1449A-27 (Rev. 6/02) PTO 1449A-28 (Rev. 6/02) PTO 1449A-29 (Rev. 6/02) PTO 1449A-30 (Rev. 6/02) PTO 1449A-31 (Rev. 6/02) PTO 1449A-32 (Rev. 6/02) PTO 1449A-33 (Rev. 6/02) PTO 1449A-34 (Rev. 6/02) PTO 1449A-35 (Rev. 6/02) PTO 1449A-36 (Rev. 6/02) PTO 1449A-37 (Rev. 6/02) PTO 1449A-38 (Rev. 6/02) PTO 1449A-39 (Rev. 6/02) PTO 1449A-40 (Rev. 6/02) PTO 1449A-41 (Rev. 6/02) PTO 1449A-42 (Rev. 6/02) PTO 1449A-43 (Rev. 6/02) PTO 1449A-44 (Rev. 6/02) PTO 1449A-45 (Rev. 6/02) PTO 1449A-46 (Rev. 6/02) PTO 1449A-47 (Rev. 6/02) PTO 1449A-48 (Rev. 6/02) PTO 1449A-49 (Rev. 6/02) PTO 1449A-50 (Rev. 6/02) PTO 1449A-51 (Rev. 6/02) PTO 1449A-52 (Rev. 6/02) PTO 1449A-53 (Rev. 6/02) PTO 1449A-54 (Rev. 6/02) PTO 1449A-55 (Rev. 6/02) PTO 1449A-56 (Rev. 6/02) PTO 1449A-57 (Rev. 6/02) PTO 1449A-58 (Rev. 6/02) PTO 1449A-59 (Rev. 6/02) PTO 1449A-60 (Rev. 6/02) PTO 1449A-61 (Rev. 6/02) PTO 1449A-62 (Rev. 6/02) PTO 1449A-63 (Rev. 6/02) PTO 1449A-64 (Rev. 6/02) PTO 1449A-65 (Rev. 6/02) PTO 1449A-66 (Rev. 6/02) PTO 1449A-67 (Rev. 6/02) PTO 1449A-68 (Rev. 6/02) PTO 1449A-69 (Rev. 6/02) PTO 1449A-70 (Rev. 6/02) PTO 1449A-71 (Rev. 6/02) PTO 1449A-72 (Rev. 6/02) PTO 1449A-73 (Rev. 6/02) PTO 1449A-74 (Rev. 6/02) PTO 1449A-75 (Rev. 6/02) PTO 1449A-76 (Rev. 6/02) PTO 1449A-77 (Rev. 6/02) PTO 1449A-78 (Rev. 6/02) PTO 1449A-79 (Rev. 6/02) PTO 1449A-80 (Rev. 6/02) PTO 1449A-81 (Rev. 6/02) PTO 1449A-82 (Rev. 6/02) PTO 1449A-83 (Rev. 6/02) PTO 1449A-84 (Rev. 6/02) PTO 1449A-85 (Rev. 6/02) PTO 1449A-86 (Rev. 6/02) PTO 1449A-87 (Rev. 6/02) PTO 1449A-88 (Rev. 6/02) PTO 1449A-89 (Rev. 6/02) PTO 1449A-90 (Rev. 6/02) PTO 1449A-91 (Rev. 6/02) PTO 1449A-92 (Rev. 6/02) PTO 1449A-93 (Rev. 6/02) PTO 1449A-94 (Rev. 6/02) PTO 1449A-95 (Rev. 6/02) PTO 1449A-96 (Rev. 6/02) PTO 1449A-97 (Rev. 6/02) PTO 1449A-98 (Rev. 6/02) PTO 1449A-99 (Rev. 6/02) PTO 1449A-100 (Rev. 6/02)